

# **Exhibit 6**

ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2232

1 B. Velez

2 Q. All right. Looking, if we can, at  
3 Exhibit 427.

4 Did I skip one -- I'm sorry. Before we go  
5 there, 426 I'm on. Did I do 424? 426.

6 This is in April of 2021, you sent this  
7 email, did you not, to sarahsiverson@the  
8 nfafutures.org.

9 Do you see that?

10 A. This is a note submission that Simon and I  
11 worked on together to help support his claims. Yes,  
12 sir.

13 Q. "I would like to submit information  
14 regarding BGC, Cantor-Fitzgerald, in support of  
15 whistleblower Simon Andriesz, who was my supervisor.  
16 I have been providing information to this  
17 whistleblower and would like to officially submit my  
18 concerns regarding BGC, Cantor-Fitzgerald."

19 Do you see that?

20 A. Correction, sir. At the time we wrote  
21 this together, he said he was actually my supervisor  
22 because he held a license. But, in essence, he was  
23 not my supervisor at BGC.

24 Q. You're familiar with the duties of an NFA  
25 license 30?



800.211.DEPO (3376)  
EsquireSolutions.com

ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2233

1 B. Velez

2 A. No, because I only have to report to 24.

3 Q. You deal in equities only, not futures,  
4 correct?

5 A. I don't touch futures, correct, sir.

6 Q. Does NFA have any regulatory authority  
7 over equities?

8 A. I believe FINRA is over equity  
9 derivatives. I don't believe I --

10 Q. I just want to make sure --

11 A. Let's put it this way, sir: I was never  
12 supervised in any trading or any activities at work  
13 by Simon.

14 Q. Just so I'm clear, what you send to the  
15 NFA is the same document that you had already sent  
16 to the SEC and the FCA?

17 A. It's the same document Simon and I wrote,  
18 correct.

19 Q. But it's the same document that you  
20 submitted to the SEC and the FCA, correct?

21 A. It's the same document that Simon and I  
22 wrote that he pressured me to send to regulators,  
23 correct. Yes.

24 Q. My question was, it's the same document  
25 that you, Bob Velez, had previously submitted to the

ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2234

1 B. Velez

2 SEC and the FCA, correct?

3 A. It's the same document that Simon and I  
4 co-wrote that I sent to the FTC and the FCA and all  
5 the regulators, correct.

6 Q. And now you're sending it to the NFA?

7 A. He had me send them to everyone, correct.  
8 He had me send to million people, yes.

9 CHAIRMAN KHEEL: Mr. Brickman, can I  
10 interject a question? We've been going a  
11 little over two hours. We want you to --

12 MR. BRICKMAN: I'm really in the home  
13 stretch.

14 CHAIRMAN KHEEL: That's all I wanted to  
15 know. We'll proceed then.

16 BY MR. BRICKMAN:

17 Q. If we could turn to Exhibit 427.

18 It's a very simple question, Mr. Velez.

19 Did you send this document to Shannon,  
20 Jane, and John at or about April 1 of 2021?

21 That's a "yes" or "no."

22 A. This is another email Simon had me send,  
23 yes.

24 Q. You sent it though, correct?

25 A. He gave me the context and told me what to



800.211.DEPO (3376)  
EsquireSolutions.com



ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2235

1 B. Velez

2 write, and I sent it. Yes, sir.

3 Q. He didn't tell you everything to write?

4 A. Yes, he did, sir. Absolutely. That's why  
5 we submitted the FCA document as well, because it  
6 didn't have all the information he wanted on it.  
7 Yes, he did, sir.

8 Can I ask a question? How come none of  
9 this stuff was ever -- at BGC?

10 Q. Well --

11 A. Right? So, again, sir, I would never have  
12 known how to find these contacts. I had no  
13 intention to contact these regulators. I contacted  
14 them because he pressured me to do so, and he helped  
15 me write this, and we submitted it. I had no axe at  
16 all and nothing at all to gain other than helping my  
17 friend.

18 And it was a mistake, and I regret it.  
19 And I was used and manipulate because of my personal  
20 circumstances. And it's only fair that I'm being  
21 put on trial, because if there's a case here, it  
22 should be based on my information.

23 Q. Well, just looking at the list of ten we  
24 talked about, I heard your testimony correctly that  
25 some of those you wrote?



800.211.DEPO (3376)  
EsquireSolutions.com

ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2236

1 B. Velez

2 A. Oh, I had some issues with BGC. Of course  
3 I did. That's why I left. None of them were any  
4 reason to be a whistleblower. I was never a  
5 whistleblower from the time I left to when BGC  
6 needed my help -- sorry -- when Simon needed my  
7 help. None of these issues were ever put into my  
8 complaints.

9 (To Mr. Andriesz) I'm so upset that I sent  
10 you an email that I shouldn't have even sent because  
11 of an inappropriate relationship. He still had  
12 me -- [REDACTED]

13 [REDACTED]

14 So I am a little upset with you, sir.

15 Q. If we're going to talk about  
16 conversations, back in April of 2022, we had our  
17 first conversation, correct?

18 A. Yes. And why? Because Simon asked me to  
19 have a conversation with you.

20 Q. In that conversation, you advised us, did  
21 you not, that BGC runs its organization like a  
22 criminal enterprise?

23 Do you remember telling us that?

24 A. I don't remember if I said that, sir.

25 Q. You don't remember?

ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2255

1 B. Velez  
2 you face any adverse consequences from BGC?  
3 A. No. I did get scolded for kind of barging  
4 into Theo's office and screaming at him, but I  
5 didn't get any problems. I got promoted after that;  
6 so no.  
7 Q. Similar question I asked you about  
8 Mr. Jridi. Did this issue from with Tropeano --  
9 from your perspective, did it have anything  
10 whatsoever to do with the Claimant in this case,  
11 Simon Andriesz?  
12 A. Absolutely not.  
13 Q. Did he raise any issues about Ms. Tropeano  
14 during his employment to your knowledge?  
15 A. I don't think she was employed at the time  
16 that's -- but no, not that I know of. No.  
17 Q. This whole issue predated his time in  
18 New York; is that right?  
19 A. I believe so, yes, sir.  
20 Q. There was mention of a gentleman named  
21 Charles de Chabeneix. First time I'm hearing that  
22 name, so I'll just ask you, similarly, whatever the  
23 issue was with Charles de Chabeneix -- I don't know  
24 what it was, to be honest -- did Mr. Andriesz have  
25 anything to do with that?



800.211.DEPO (3376)  
EsquireSolutions.com



ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2256

1 B. Velez

2 A. No, sir.

3 Q. You made mention -- I'm not going to have  
4 it pulled up, but we looked at Exhibit 389, in which  
5 you said something like "They are capable of  
6 anything," with respect to BGC. And I believe your  
7 testimony was you had heard something from  
8 Mr. Andriesz.

9 In the time period after Mr. Andriesz left  
10 BGC, what kind of things did you learn from him or  
11 did you hear from him about his beliefs about what  
12 BGC was capable of?

13 Describe to me the conversations.

14 A. All sorts of things, from rigged doctors'  
15 appointments to money laundering -- I mean, we spent  
16 hundreds of hours on charts, these apparent papers,  
17 and all sorts of stories from him -- from charity  
18 and charity manipulation.

19 I mean, it was very wide, from  
20 manipulating charity funds to, you know, money  
21 laundering to mafia associations with doctors and  
22 all sorts of -- I mean, a lot -- a lot of  
23 conspiracies. A whole bunch of stuff, yeah.

24 Q. So to use your word, did you come to a  
25 view as to whether the conspiracies that



ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2257

1 B. Velez

2 Mr. Andriesz was describing were credible?

3 A. Some are farfetched, but if they were, I  
4 mean, you know, then we were at some sort of Bernie  
5 Madoff, someone in the mafia. But no, not to the  
6 point -- it became a bit wild.

7 Q. Okay. There's an email that you looked at  
8 with Mr. Brickman that relates to a transaction  
9 called "E-speed."

10 Do you have new personal knowledge as to  
11 the accounting or tax treatment of the E-speed  
12 transaction?

13 A. No. It was just speculations of things  
14 that we were talking about and trying to deduct.  
15 But no, I have no inside -- I was just a broker, a  
16 manager/broker. I had nothing to do with that.

17 Q. Based on your discussions with  
18 Mr. Andriesz, did you have an understanding whether  
19 he had any direct knowledge?

20 A. No. Absolutely not. No, no.

21 Q. Okay. You had looked at what looked to me  
22 like an outline that was described as a letter to a  
23 lot of different regulators, the CFTC, I guess -- I  
24 didn't see that one -- by the NFA, the FCC, the FCA.  
25 And I just want you to give -- I want to give you



800.211.DEPO (3376)  
EsquireSolutions.com

ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2258

1 B. Velez

2 the opportunity, because I could sense you were  
3 trying to describe this.

4 Can you describe how that document was  
5 created, what the process was?

6 A. We worked on it together, you know. We --  
7 there was -- as you also saw from the text messages,  
8 there was always a pushing, "Call this guy. Call  
9 this guy. Here is this guy," you know. I think at  
10 one point there was a call where -- I got a call  
11 with -- he was on the phone with the IRS, and I hung  
12 up.

13 So it was a constant, "Call this; do  
14 this." And, again, I wanted to help him. And I  
15 did, and I regret it, looking back. But, you know,  
16 it was, like, all these names, all of these emails.  
17 They were all provided with them. And we worked  
18 together, and we went back and forth until we got it  
19 satisfactory and was happy to send it.

20 Q. I want to look at very few, but only a few  
21 of the documents that you looked at with  
22 Mr. Brickman.

23 The first one I'd ask -- let me know. I'm  
24 not sure who is -- are you pulling up documents or  
25 is --

ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2259

1 B. Velez  
2 MS. CARDENAS: Oh, I'm not in the --  
3 MR. BRICKMAN: I can do it.  
4 (Exhibit 440 was received and marked for  
5 identification, as of this date.)  
6 MR. SHAH: I'd be grateful if you don't  
7 mind. Exhibit 440.  
8 BY MR. SHAH:  
9 Q. If we could go to the first page, 4956.  
10 Sorry to be directing you.  
11 MR. BRICKMAN: No, I appreciate your help.  
12 BY MR. SHAH:  
13 Q. Do you see in the first column on the  
14 left -- I'll just represent to you -- I think you  
15 understood this -- the text on the left are yours,  
16 and the ones on the right are from Mr. Andriesz.  
17 Is that your understanding?  
18 A. It looks like that, yes.  
19 Q. Okay. So on the left, you're making  
20 reference to Carrie Fertig, who was offsite in  
21 Miami. You said "Where we had to fire old people."  
22 Do you see that?  
23 A. Yeah.  
24 Q. Was there an offsite where you were  
25 instructed to fire old people?



800.211.DEPO (3376)  
EsquireSolutions.com



ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2265

1 B. Velez  
2 ARBITRATOR ELKIND: Yeah. It says  
3 March 22, first page.  
4 A. So at this point -- I'm getting emotional  
5 again -- [REDACTED]  
6 [REDACTED]  
7 [REDACTED]  
8 [REDACTED]  
9 [REDACTED]  
10 [REDACTED]  
11 [REDACTED]  
12 [REDACTED]  
13 [REDACTED]  
14 [REDACTED]  
15 [REDACTED]  
16 So I was not working. I was destitute.  
17 And, you know, I wasn't [REDACTED]  
18 [REDACTED]  
19 Q. Had you borrowed any money from  
20 Mr. Andriesz at this point?  
21 A. I had in the point, not at this point. I  
22 was asking for help, but I had borrowed some money  
23 while at Square. And I borrowed some money when --  
24 he helped me a lot, man. That's why I didn't want  
25 to be on the stand.

ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2266

1 B. Velez  
2 I borrowed some money when [REDACTED]  
3 [REDACTED] [REDACTED]  
4 [REDACTED] [REDACTED]  
5 [REDACTED] He was helping me  
6 out a lot.  
7 Q. When Mr. Andriesz said "I'll help you as  
8 much as I can" in the context of this overall  
9 discussion, did you have an understanding of what he  
10 was suggesting?  
11 A. From whatever would come out of BGC.  
12 There was no formal arrangement, but he just wanted  
13 to keep helping me.  
14 Q. Did that motivate you at all to try to  
15 assist him with respect to his efforts?  
16 A. I'm ashamed to say, yes. I was desperate.  
17 (Exhibit 441 was received and marked for  
18 identification, as of this date.)  
19 Q. If you can go to Exhibit 441.  
20 CHAIRMAN KHEEL: Were --  
21 (A discussion was held off the record.)  
22 CHAIRMAN KHEEL: Are we ready to proceed?  
23 MR. SHAH: Yes, sir.  
24 BY MR. SHAH:  
25 Q. If you can go to Exhibit 441.

ARBITRATION Vol. VIII Conf.  
ANDRIESZ V. BCG FINANCIAL, L.P.

January 24, 2024  
2267

1 B. Velez

2 We heard testimony from Mr. Andriesz, as  
3 well as from you in this case about a meeting with  
4 Mr. Aubin. I just want to direct your attention to  
5 Andriesz's 4969.

6 If you look at the right side of this text  
7 string, there's two columns. I'm looking at the one  
8 on the left?

9 A. Yes.

10 Q. So the right side of the text is  
11 Mr. Andriesz.

12 Mr. Andriesz writes to you "When Aubin  
13 threatened me, I was in New York, right? After I  
14 had a heart attack? So 2015?"

15 And you wrote back "No, you were here in  
16 London, in icap office."

17 Do you see that?

18 A. I just can't see where that -- where is  
19 that? Am I on the wrong page?

20 Q. Just the top left, sir. Top left. "When  
21 Aubin threatened me."

22 Do you see that?

23 A. Yes. I don't see the words -- oh, okay.  
24 That's how it goes. Okay. So it's two -- okay. I  
25 got it, yes. Yes, sir.



800.211.DEPO (3376)  
EsquireSolutions.com